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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Patent Application of

David W. Sherrer

Group Art Unit: 2874

Serial No. 09/851,376

Attorney Docket No. ACT.011

Filed: May 9, 2001

Title: OPTICAL WAVEGUIDE FERRULE AND METHOD OF MAKING AN
OPTICAL WAVEGUIDE FERRULE

ASSERTION OF SMALL ENTITY STATUS

Honorable Commissioner For Patents
Washington, D.C. 20231


Sir:

Applicants, by the undersigned, hereby assert that the above-identified application
is entitled to "small entity status" pursuant to the provisions of 37 C.F.R. §1.27.

Respectfully submitted,

JONES VOLENTINE, PLLC

By:


Adam C. Volentine
Reg. No. 33,289

Date: August 1, 2001

JONES VOLENTINE, PLLC
12200 Sunrise Valley Drive, Suite 150
Reston, VA 20191
(703) 715-0870

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
ACT.011

In Re Application Of: **David W. Sherrer**

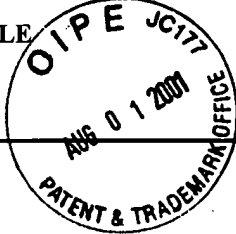
Serial No.
09/851,376

Filing Date
05/09/01

Examiner
UNKNOWN

Group Art Unit
2874

Invention: **OPTICAL WAVEGUIDE FERRULE AND METHOD OF MAKING AN OPTICAL WAVEGUIDE
FERRULE**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
JULY 9, 2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☒ Other (list):

ASSERTION OF SMALL ENTITY STATUS

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
ACT.011

In Re Application Of: **David W. Sherrer**

Serial No.
09/851,376

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Examiner
UNKNOWN

Group Art Unit
2874

Invention: **OPTICAL WAVEGUIDE FERRULE AND METHOD OF MAKING AN OPTICAL WAVEGUIDE
FERRULE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

<input checked="" type="checkbox"/> Utility application filing fee	\$355.00
<input type="checkbox"/> Design application filing fee	
<input checked="" type="checkbox"/> Total number of independent claims = 4	\$40.00
<input checked="" type="checkbox"/> Total number of claims = 34	\$126.00
<input type="checkbox"/> Multiple dependent claims	
<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	\$65.00
<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor	
<input type="checkbox"/> Fee for processing an application filed with a non-English language specification	
<input type="checkbox"/> Fee for processing and retention of application	

Total completion of application fees **\$586.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due **\$586.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
ACT.011

In Re Application Of: **David W. Sherrer**

Serial No.
09/851,376

Filing Date
05/09/01

Examiner
UNKNOWN

Group Art Unit
2874

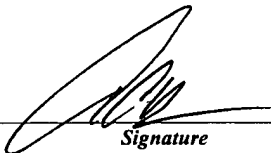
Invention: **OPTICAL WAVEGUIDE FERRULE AND METHOD OF MAKING AN OPTICAL WAVEGUIDE
FERRULE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$586.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0238**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0238**
A duplicate copy of this sheet is enclosed.


Signature

Dated: **AUGUST 1, 2001**

**ADAM C. VOLENTINE
REG. NO. 33289**

**JONES VOLENTINE, PLLC
12200 SUNRISE VALLEY DRIVE, SUITE 150
RESTON, VA 20191**

TEL. NO. (703) 715-0870

CC:

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/851,376	05/09/2001	David W. Sherrer	ACT.011

CONFIRMATION NO. 3898

FORMALITIES LETTER



OC000000006272663

JONES VOLENTINE, P.L.L.C.
Suite 150
12200 Sunrise Valley Drive
Reston, VA 20191

Date Mailed: 07/09/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$332.
 - \$252 for 14 total claims over 20.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1172.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/03/2001 AZERGAN1 00000063 09851376
01 FC:201 355.00 OP
02 FC:203 126.00 OP
03 FC:202 40.00 OP
04 FC:205 65.00 OP